

OVERVIEW

Northern Lights Distributors (NLD) is an underwriter to open end, closed-end, and Exchange Traded Funds (ETFs) registered under the Investment Company Act of 1940. Founded in 2003, NLD is a subsidiary of NorthStar Financial Services Group, LLC, a financial services holding company with 10 subsidiaries collectively servicing more than \$425 billion* in assets.

* AS OF 4/30/2016

HIGHLIGHTS

\$33 billion in client assets

310 funds

SEC & FINRA Registered Broker Dealer

118 advisory clients

SIPC & MSRB Member

Shared trust services through Northern Lights Fund Trusts

SERVICES

STRATEGIC RELATIONSHIPS

- Sales & marketing consulting
- Distribution planning
- Industry & intermediary relationship development
- Product placement guidance & advocacy
- Sponsorship identification

UNDERWRITING

- Selling agreements
- NSCC membership
- 12b-1 processing
- Advertising/marketing review
- Registered representative licensing

ACTIVE MARKETING

- Sales & marketing strategy development
- Marketing review
- Content development
- Campaign development & execution
- Ongoing campaign analysis

MANAGEMENT

Executive

BRIAN NIELSEN
Chief Executive Officer

WILLIAM WOSTOUPAL
President

Compliance

MIKE NIELSEN
Chief Compliance Officer

Strategic
Relationships

ALMA PISCITELLO
Head of Strategic Relationships

Finance

DANIEL APPLGARTH
Chief Financial Officer



NORTHERN LIGHTS
DISTRIBUTORS

NORTHERN LIGHTS DISTRIBUTORS, LLC MEMBER FINRA/SIPC

NLDISTRIBUTORS.COM

17605 WRIGHT STREET, OMAHA, NE 68130

855-891-0091

XXX-NLD-XX/XX/XXXX